

Filling in this form

Please complete this form if you have entered into an existing Synergy product prior to 4 April 2011 and now wish to open an execution only stockbroking account.

This form should be completed by the policyholder/member for the following products:

- Synergy Executive Pension
- Synergy Personal Pension
- Synergy approved retirement funds
- Synergy Portfolio approved retirement funds
- Synergy Buy Out Bond
- Synergy Personal Retirement Savings Account

Policy Number

**SYMLSTOCK
V02 0412**

Part 1 - Policyholder/Member

Policyholder/member

| | |
|-----------------------|---------|
| Title | Surname |
| First names (in full) | |
| Address | |
| | |

Date of birth
(DD/MM/YYYY)

Part 2a - Money laundering regulations

Due to money laundering regulations, we are required to obtain information about your occupation, income, details of how you acquired the money you are investing.

Occupation of policyholder/member

Source of wealth

Please tick all that apply

Please tell us how you acquired the money you are investing.

- | | | | |
|---|--|--|--|
| <input type="checkbox"/> Compensation payment | <input type="checkbox"/> Inheritance | <input type="checkbox"/> Salary/bonus | <input type="checkbox"/> Sale of company |
| <input type="checkbox"/> Divorce settlement | <input type="checkbox"/> Lottery/betting win | <input type="checkbox"/> Sale of investments | <input type="checkbox"/> Savings |
| <input type="checkbox"/> Gift | <input type="checkbox"/> Policy claim/maturity | <input type="checkbox"/> Sale of property | |

Other

Your annual income before taxation

Please indicate which income band applies to you

Please state the income of the policyholder/member.

- | | | | |
|---|---|---|---|
| <input type="checkbox"/> Under €15,000 | <input type="checkbox"/> €15,000 to €19,999 | <input type="checkbox"/> €20,000 to €29,999 | <input type="checkbox"/> €30,000 to €39,999 |
| <input type="checkbox"/> €40,000 to €49,999 | <input type="checkbox"/> €50,000 to €59,999 | <input type="checkbox"/> €60,000 to €69,999 | <input type="checkbox"/> €70,000 or more |

To comply with money laundering regulations we may verify your identity by carrying out an online check with a reference agency.

Where an online check is carried out, the agency will verify your identity against public records and it will also check whether you have a credit history (but it will not disclose any information about your actual borrowings). The agency will add a note to show that an identity check was made to your credit file, but this information will not be made available to any third parties. In addition to this, your financial adviser will submit certified copies of the document's used to identify you to Standard Life.

Part 2b - Comply with money laundering regulations

To be completed by the financial adviser

To comply with money laundering regulations, we must confirm that you have verified the identity and address of each policyholder/member. To comply with this, **please attach certified copies** of one item from the list of photographic identification and certified copies of one item from the list of non photographic identification.

Photographic identification:

- Current passport
- Current photo card driving licence
- Current National Identity Card
- Current Identification form (ML 10) containing photo signed by a member of the Gardai
- Immigration certificate of registration (GNIB card) accompanied by letter from Office of Minister for Integration (signed and stamped)
- National Age card

Non photographic identification:

- Current documentation/cards issued by the Revenue showing the name of the policyholder/member and their PPSN
- Current documentation/cards issued by the Department of Social Protection showing the name of the member and their PPSN
- Current instrument of a court appointment (such as liquidator, or grant of probate)
- Current bank statements, or credit/debit card statements, issued by a regulated financial sector designated person in the Ireland, EU or comparable jurisdiction (including those printed from the internet)
- Current utility bills (including those printed from the internet)
- Current household/motor insurance certificate or renewal notice
- Current medical card

For the purposes of the above, current means where a document has a specific expiry date that has not passed or in the absence of a specific expiry date the document was issued within 6 months of the date its submitted to Standard Life.

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