

### Filling in this form

When a company wants to invest in a Standard Life Synergy Regular Invest or Synergy Investment Bond, this additional declaration and information is needed to set up the policy.

### Privacy notice

We collect, process and maintain customer personal information and apply safeguards to ensure that it is protected and used in accordance with data protection law. To read our Privacy Policy, visit [www.standardlife.ie/privacy](http://www.standardlife.ie/privacy)

### Your financial adviser's company details

#### To be completed by your financial adviser

Financial adviser's Standard Life agency code  /

Financial adviser's company name

### Your policy details

Application date (this should match the date on your application form):  Date (DD/MM/YYYY)

Life Assured 1

Life Assured 2

Policyowner - full legal name (the 'Company')

Company registration number

Company tax reference number

Company Legal Entity Identifier (if registered)

## Your policy details continued

### Company type (tick one)

- (a) an Irish charity
- (b) a pension fund
- (c) a financial institution\*
- (d) an actively trading non-financial services business (including non-Irish charity)
- (e) an investment body (passive non-financial services business)

\* If the Company is a financial institution, you must give us its Global Intermediary Identification Number (GIIN)

GIIN       .       .

If the answer is (d) or (e), is the Company resident for tax purposes anywhere other than the Republic of Ireland?  Yes  No

If yes, please tell us where and give us its Taxpayer Identification Number (TIN)

Country

TIN

Country

TIN

Irish charity: If one or more of the trustees is tax resident outside the Republic of Ireland, we'll need details of these other jurisdictions.

Financial institution: for example, a bank, a Qualifying Fund Manager, a professionally managed trust.

Actively trading non-financial services business: for example, a non-financial institution that does not exist to simply hold investments or receive income.

Investment body: for example, a company which only exists to hold investments or receive income.

US citizens are considered to be tax resident in the US under US tax law. This applies whether or not they hold a US passport. It also applies to US Green Card holders.

If you need more information about tax residency, talk to your financial adviser.

## Politically exposed persons

We are required to identify politically exposed persons (PEPs) under anti-money laundering regulations.

A PEP is an individual who is, or has at any time in the last 12 months held one of the following positions, in Ireland or abroad:

- head of a state or government, or a minister
- member of a parliament or similar legislative body
- member of the governing body of a political party
- member of a supreme court, constitutional court or other high-level judicial body
- member of a court of auditors or board of a central bank
- an ambassador, chargé d'affaires or high-ranking officer in the armed forces
- member of an administrative, management or supervisory body of a state-owned enterprise
- director, deputy director, or member of the board of (or person performing the equivalent function in relation to) an international organisation

Are you, or any other party to this application, now, or previously been a

- politically exposed person (PEP)
- close relative of a PEP, or
- close business associate of a PEP?

Yes  No

If yes, please give details.

Role* in policy	Role holder's name	Role holder's relationship to PEP	PEP	Position held by the PEP
<i>For example, director</i>	<i>Anne Murphy-Smith</i>	<i>daughter-in-law</i>	<i>Hugo Smith</i>	<i>Director, UK Post Office</i>

This PEP question applies to all principal shareholders (who own more than 25%) and directors of the company.

If you are a PEP, or a close relative or close associate of a PEP, we must apply enhanced customer due diligence procedures. You may be required to provide additional information and documentation (such as your source of funds, wealth, and identity).

\*Principal shareholder, director, trustee

## Declaration by Company

We confirm that:

1. The Company is a validly incorporated company and has the full power, authority and capacity to enter into, perform, and carry out the obligations in a contract of insurance with Standard Life International dac ('Standard Life');
2. The Company has taken all requisite corporate actions, executed all necessary consents, approvals and/or resolutions to approve the execution of a contract of insurance with Standard Life;
3. There is nothing which would limit or prevent the Company from entering into the contract of insurance with Standard Life and satisfy any ongoing responsibilities;
4. Entering into this contract of insurance with Standard Life will not breach or violate any relevant laws, regulations, commitments, licences or obligations contractual or otherwise;
5. The persons signing and authorising the documentation to enter into the contract of insurance between the Company and Standard Life are authorised and have sufficient authority to do so;
6. The Company will advise Standard Life of any changes to those persons who are authorised to give instructions to Standard Life in relation to the policy;
7. The Company confirms that this declaration is true and accurate and provided in utmost good faith;
8. The Company has satisfied itself that it has an interest in the lives assured named in connection with the contract of insurance, known as 'insurable interest';
9. The Company has read and understood the following wording:

“What is insurable interest?

Your policy will not be validly formed unless you have an interest in the life assured such that a loss will be incurred by you on the death of the life assured. If you have no such interest, the policy may be null and void. This is known as the requirement for 'insurable interest'.

Such interest must be capable of being valued in monetary terms and be based on an obligation or liability which would arise on the death of the last surviving life assured.

By entering into this contract of insurance you will have satisfied yourself that you have insurable interest. Please discuss this with your financial adviser or take independent legal advice. We will not make any enquiries as to whether you have insurable interest in your policy and we will accept your application for the policy on the basis that it can be validly formed. If you disclose any information to us which could, on the face of the details we hold, suggest that insurable interest may not exist, we will still assume that you are satisfied as to the existence of insurable interest at the start date because it will be a matter for you to assess whether you have insurable interest or not at that time.”

10. The Company has taken all necessary independent advice in connection with the execution of the contract of insurance with Standard Life.
11. The Company understands and agrees that the definition of 'you' contained in the policy provisions is amended to read:

“Reference to 'you' and 'your' throughout your Policy Provisions will mean the Company named in the Policy Schedule, who owns the Policy associated with the Policy Schedule and agrees to pay any Premium, or their successors, legal representatives or permitted assigns (or the successor, legal representatives or permitted assigns of them).

When reference in these Policy Provisions is made to investment decisions made by you this includes decisions authorised or instructed by you or on your behalf by other natural or legal persons.”

## Authorised signatories of Company

Authorised signatory of Company



Date

(DD/MM/YYYY)

Please state the capacity in which you are signing

  
Signature

Authorised signatory of Company



Date

(DD/MM/YYYY)

Please state the capacity in which you are signing

  
Signature

Authorised signatory of Company



Date

(DD/MM/YYYY)

Please state the capacity in which you are signing

  
Signature

## What should accompany this declaration?

- The company's completed Synergy application
- Verification of company details – for example, a copy of the policyowner's (the Company) details page from Companies Registration Office website ([www.cro.ie](http://www.cro.ie) company search)
- Verification of company tax reference number - a copy of Revenue correspondence showing company name and tax reference number.
- List of principal shareholders (who own more than 25%) together with their individual
  - residential addresses
  - dates of birth
  - answers to 'Are you resident for tax purposes outside the Republic of Ireland?' and if yes, tell us where and give us their Taxpayer Identification Numbers
  - answers to 'Are you a PEP, close relative or associate of a PEP?' and if yes, give relationship to PEP, PEP's name and position held
- List of directors together with their individual
  - residential addresses
  - dates of birth
  - answers to 'Are you resident for tax purposes outside the Republic of Ireland?' and if yes, tell us where and give us their Taxpayer Identification Numbers
  - answers to 'Are you a PEP, close relative or associate of a PEP?' and if yes, give relationship to PEP, PEP's name and position held
- List of authorised signatories, including sample signatures, on your company headed paper
- Identification documents of directors\*
- Identification documents of principal shareholders\* (if a principal shareholder is a company, a chart of their legal structure may suffice)

\* If the company is regulated by the Central Bank of Ireland, we may waive this requirement.

For more information on identification documents, see Synergy application.

Calls may be monitored and/or recorded to protect both you and us and help with our training. Call charges will vary.

(01) 639 7000 [www.standardlife.ie](http://www.standardlife.ie) [customerservice@standardlife.ie](mailto:customerservice@standardlife.ie)

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