

Before you complete this form

Please read your Illustration, Investment Options guide (SYI01) and Self-Directed Options guide (SYSDO1), given to you by your financial adviser. Synergy Executive Pension is a one member arrangement. A separate policy must be set up for each scheme member.

SYEP31 V14 0223

Part 1 – Your financial adviser's company details

To be completed by your financial adviser

Financial adviser's
Standard Life agency code

9 /

Financial adviser's
company name

Part 2 – Self-administered scheme details

Scheme name

Trustees' email

Date of Trust Deed
establishing scheme
(DD/MM/YYYY)

Scheme Revenue
reference number

Pensions Authority
reference number

We may use your email to help
manage your policy. If you
don't want us to use it, leave
it blank.

Part 3 – Member details

☐ Tick this box if you're already a
customer of Standard Life

Please give us one of
your policy numbers

Title

First names
(in full)

Surname

Nationality

Residential
address

Eircode

Normal
Retirement Age*

(Must be age 60 - 70)

Date of birth**
(DD/MM/YYYY)

Personal Public
Service number

The member's residential
address is required for anti-
money laundering purposes,
and will not normally be used
for correspondence.

* For regular (monthly,
quarterly, half yearly)
contributions, there must
be at least one year
between your start date
and retirement age. For a
term of less than one year
you can only have a yearly
contribution or a single
contribution.

** Minimum age is 18,
maximum is 69.

Transfer payment

The minimum single contribution to set up a policy is €5,000.

* You should make your direct credit payment to Standard Life International, IBAN: IE81 HSBC 990231 37001649, quoting reference: member surname, first name, and date of birth (dd/mm/yyyy).

Is the member's pension (or has it ever been) subject to family law proceedings?
(for example, Pension Adjustment Order) ☐ Yes ☐ No

Part 6 – Your investment choice

Indicate how your total contributions as set out in Part 4 are to be allocated

If you choose to invest in any of the Self-Directed Options, your investment will be initially placed in your policy's cash account. You must have enough money in this account to enable us to carry out your instructions and to pay the charges related to the Self-Directed Options that you have selected. Please refer to the Self-Directed Options guide (SYSDO1), or contact your financial adviser for further information.

If you have more than one contribution type (for example a €10,000 single contribution, plus a monthly contribution of €250), **and** you have a different investment choice for each, please make a copy of this section and complete it for each contribution.

In order to complete this section, refer to the Investment Options guide (SYIO1).

Please ensure you give full fund name, as listed in Investment Options guide (SYIO1).

If you wish to invest in funds, indicate the relevant fund name and percentage in the table. For example, if you indicated above that you wish to invest €10,000 in funds, and you want all of this amount to be invested in the Standard Life Managed Fund, you would write

Managed 100%

Self-Directed Options

Deposits

Deposit provider	Deposit amount €	Deposit account term

There are minimum investment amounts applied by the Deposit providers. Please see www.standardlife.ie for the minimums that apply.

Authorising Standard Life to accept instructions from your financial adviser on your behalf

Note that we will only accept instructions from authorised persons once we are satisfied that we have verified the identity of the authorised person, and it is the responsibility of the authorised person and/or yourself to verify that your instructions have been carried out properly.

I authorise Standard Life to accept instructions from my financial adviser named in Part 1, to buy or sell Funds and Deposits under this policy.

This authorisation will apply until Standard Life receives a written instruction from me changing or withdrawing my authorisation.

Please speak to your financial adviser before completing this section.

**Trustees
signatures**



Date

(DD/MM/YYYY)



Signature

Part 7 – Source of wealth

Tell us how you acquired the money you are investing. Tick all that apply.

- | | | | |
|---|--|--|--|
| <input type="checkbox"/> Compensation payment | <input type="checkbox"/> Inheritance | <input type="checkbox"/> Salary/bonus | <input type="checkbox"/> Sale of company |
| <input type="checkbox"/> Divorce settlement | <input type="checkbox"/> Lottery/betting win | <input type="checkbox"/> Sale of investments | <input type="checkbox"/> Savings |
| <input type="checkbox"/> Gift | <input type="checkbox"/> Policy claim/maturity | <input type="checkbox"/> Sale of property | <input type="checkbox"/> Other |

Additional details

In certain circumstances, we may request further information.

Politically exposed persons

We are required to identify politically exposed persons (PEPs) under anti-money laundering regulations.

A PEP is an individual who is, or has at any time in the last 12 months held one of the following positions, in Ireland or abroad:

- head of a state or government, or a minister
- member of a parliament or similar legislative body
- member of the governing body of a political party
- member of a supreme court, constitutional court or other high-level judicial body
- member of a court of auditors or board of a central bank
- an ambassador, chargé d'affaires or high-ranking officer in the armed forces
- member of an administrative, management or supervisory body of a state-owned enterprise
- director, deputy director, or member of the board of (or person performing the equivalent function in relation to) an international organisation

Are you, or any other party to this application, now, or previously been a

- politically exposed person (PEP)
- close relative of a PEP, or
- close business associate of a PEP?

Yes ☐ No ☐

If yes, please give details.

Role* in policy	Role holder's name	Role holder's relationship to PEP	PEP	Position held by the PEP
For example, member	Anne Murphy-Smith	daughter-in-law	Hugo Smith	Director, UK Post Office

*Trustee (including directors and principal shareholders of trustee services company), employer (including directors and principal shareholders), or member.

If you don't provide suitable anti-money laundering/identification documents/information to us, we may be required to stop processing and discontinue doing business with you. Similarly, after the policy is written, if we ask for up to date verification, we may not be allowed to carry out your instructions until it is provided.

Part 8 – Data Protection Notice – Important, please read

We're committed to maintaining the trust and confidence of our customers. Our Privacy Policy explains how we use our customers' personal information. It explains when and why we collect personal information about our customers, how we use it, the conditions under which we may share it with others and how we keep it secure. It also explains how you can obtain details of the information we hold about you, and the choices you have about how we use that information. You can get a copy of our Privacy Policy on our website: www.standardlife.ie/privacy

As you're providing information about another person, please ensure you inform the individual that you have provided this information and that they read our Privacy Policy to understand how we manage and use their data.

Part 9 – Your financial adviser's declaration

To be completed by your financial adviser

1. I confirm that I will retain the appropriate evidence regarding any instructions I give to Standard Life or any third party providers on behalf of my client.
2. I have completed all checks to verify the identity, address, source of funds, and source of wealth of the member named in Part 3 to the standards set out in the anti-money laundering regulations and counter financing of terrorism regulations which will be forwarded to Standard Life on request.
3. I confirm that in accordance with the EU Regulation 2019/2088 on sustainability-related disclosures in the financial services sector, the member and/or trustees has been given the relevant pre-contractual SFDR disclosure documents.
4. I have explored the available investment options with the member and/or trustees, and taking into account the member's circumstances, long term needs, age, attitude to risk, product suitability, and sustainability preferences, I am satisfied that this policy is suitable for them.
5. I confirm my client fits the product's target market Yes ☐ No ☐

If No, please outline why you are recommending this product:

Commission details

Please complete all three choices (i), (ii) and (iii)

(i) Pricing option

A ☐ B ☐ C ☐ D ☐ E ☐ F ☐ G ☐ H ☐

I ☐ For term to NRA ≥ 1
and < 5 complete years

J ☐ For term to NRA ≥ 1
and < 5 complete years

K ☐ For term to NRA < 1
complete year

(ii) Single/transfer Initial (% of premium)

Nil ☐ or % (Max 5.00%)

(iii) Single/transfer FBRC (% of fund)

Nil ☐ or % (Max 1.00%) payable* Monthly ☐ Quarterly ☐ Half-yearly ☐ Yearly ☐

Issue policy to Financial adviser ☐ Client ☐

Financial adviser signature

Date

(DD/MM/YYYY)

Financial adviser's name

If there are questions relating
to this application, email me at

Special instructions and/or additional information

For more information on
pricing options, refer to
Products from Standard Life
for financial advisers (SYAPS).

No commission is payable for a
term of less than one year.


Signature

Part 10 – Your declaration as trustees

Please read carefully before signing.

WARNING: If you propose to enter into this executive pension contract in complete or partial replacement of any existing pension arrangement, please take special care to satisfy yourself that this executive pension contract meets your needs. In particular, please make sure that you are aware of the financial consequences of replacing your existing pension arrangement. Please take particular care if you are giving up any guarantees. If you are in doubt about this, please contact your financial adviser.

1. We confirm that we have sufficient investment powers under the self-administered scheme to invest in an insurance contract such as a Synergy Executive Pension.
2. We accept total responsibility for all administration needed to comply with relevant legislation and Revenue rules/procedures.
3. We undertake to immediately inform Standard Life if there is a material change to the self-administered scheme or it ceases to be Revenue approved.
4. We undertake to immediately inform Standard Life of any change to the trustees of this self-administered scheme, including if the pensioner trustee ceases to be a Revenue approved pensioner trustee.
5. We accept that if we request a transfer out of the Synergy Executive Pension, the transfer must be total, resulting in the termination of the policy.
6. We have read the Data Protection Notice (Part 8) and we agree that our personal information may be used for the purposes described.
7. We have shown the Data Protection Notice (Part 8) to the member and we confirm that they have read it, and have agreed to their personal data being used for the purposes described.
8. We agree that a copy of this application can be treated as the original for all purposes.
9. We declare that the information provided in this and other related forms (if any) are true and correct to the best of our knowledge and belief.
10. We agree to be legally bound by the Synergy Executive Pension policy provisions (SYEP60).

Important notes

- Standard Life in this instance is simply supplying a policy of insurance and will issue standard Synergy Executive Pension documents.
- The standard Synergy Executive Pension Rules will not apply. The trustees of the scheme are responsible for ensuring the rules of the existing self-administered scheme are applied.
- Standard Life is not acting as Registered Administrator.
- The pensioner trustee must be one of the signatories on all financial transactions.

Pensioneer Trustee (Trustee 1)

Eircode

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(DD/MM/YYYY)

Trustee name

Eircode

(DD/MM/YYYY)

If you're providing information about another person, please inform the individual, and recommend they read our Privacy Policy to understand how we manage and use their data.



Signature

The residential address is required for anti-money laundering purposes, and will not normally be used for correspondence.



Signature

Trustee 3

Fircode

The residential address is required for anti-money laundering purposes, and will not normally be used for correspondence.

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(DD/MM/YYYY)

If you are receiving advice from a financial adviser, remember that the adviser is acting on your behalf, not only by giving you advice, but also regarding how this form is filled in and sending us this completed application and cheque in payment of any contribution. By filling in this form you are applying to enter into a contract with Standard Life. This application will be the basis of this contract if it is accepted by us. A copy of this completed application will be sent to you on request. We will start the policy immediately and your policy documents will be issued.



Signature

Please make sure you enclose:

- ☐ Completed application form with appropriate signatures and dates.
- ☐ Evidence of Revenue's approval of the Pensioner Trustee.

Where the scheme has individual trustees, each trustee must sign and date this form.
In the case of a Corporate Trustee:

- ☐ Evidence of signing authority – we require a minimum of two signatories to sign and date this form.
- ☐ List of authorised signatories, including sample signatures, on company headed paper.

(01) 639 7000 **www.standardlife.ie** customerservice@standardlife.ie

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