

## Part 1 - Financial adviser's details

Financial adviser's Standard Life agency code  /

Financial adviser's company name

## Your client's details

First names

Surname

Existing policy number to be topped up

If a savings or investment policy, is the policy owner resident for tax purposes anywhere other than the Republic of Ireland?  Yes  No

If yes, please tell us where and give us their relevant Taxpayer Identification Number (TIN):

Country

TIN

## Premium details

<b>Savings/Investment</b>		<b>Pensions</b>	
Single premium	<input type="text" value="€"/>	Employer single contribution*	<input type="text" value="€"/>
		Employee single contribution*	<input type="text" value="€"/>
		Employee single AVC*	<input type="text" value="€"/>
		Transfer payment**	<input type="text" value="€"/>

\* If topping up executive pension, also complete other retirement benefits section on page 4.

\*\* Also complete

- transfer payment section, and,
- if topping up executive pension, other retirement benefits section, both on page 4.

## Source account details

Please give account details from where money was drawn

International Bank Account Number (IBAN)

Name on account

## Source of wealth

Tell us how your client acquired the money you are investing. Tick all that apply.

<input type="checkbox"/> Compensation payment	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Salary/bonus	<input type="checkbox"/> Sale of company
<input type="checkbox"/> Divorce settlement	<input type="checkbox"/> Lottery/betting win	<input type="checkbox"/> Sale of investments	<input type="checkbox"/> Savings
<input type="checkbox"/> Gift	<input type="checkbox"/> Policy claim/maturity	<input type="checkbox"/> Sale of property	

Other

Under anti-money laundering regulations, we are obliged to keep customer information up to date. Therefore, we may request evidence of identity and address if what we have on file is expired or not recent.

In certain circumstances, we may request further information.

## Investment choice

Funds		%

  

Deposits***		
Deposits provider	Term	%

  

Execution only Stockbroking***	%

  

<b>Please ensure that your client's percentage adds up to 100%</b>	<b>Total</b>	<b>100%</b>
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\*\*\*Deposits and Execution only Stockbroking only available on Synergy policies with policy numbers beginning A, E, P, and R.

If opening an execution only stockbroking account, your client must complete the Stocktrade Requirements (SYEOS1), and for ETFs, the Alliance Savings Trust Appropriateness Assessment form.

## Commission details

### Synergy Executive or Personal Pension (policy numbers begin EP or PP) started before 1 September 2014

Please select your Flexible and clear pricing option (tick the appropriate box)

A       B       Term to NRA  $\geq$  1 and  $\leq$  5 complete years       Term to NRA  $<$  1 complete years

Initial (% of premium)

% (Max 5.00%. If nil, insert 0%)

FBRC \*\*\*\* (% of fund)

% (Max 1.00%. If nil, insert 0%)

### Synergy Executive or Personal Pension (policy numbers begin EP or PP) started after 1 September 2014

The policy's Flexible and clear pricing structure has already been set. If the term remaining is now 5 years or less, see the Products from Standard Life for financial advisers (SYAPS) for short terms information.

Initial (% of premium)

% (Max 5.00%. If nil, insert 0%)

FBRC \*\*\*\* (% of fund)

% (Max 1.00%. If nil, insert 0%)

### Synergy PRSA (policy numbers begin PR or PA), or PRSA PRF, PRN and PRS (policy numbers begin 203, 204 or 205)

The policy's pricing structure has already been set for all contributions and transfer payments. For more information on Synergy PRSA, see the Products from Standard Life for financial advisers (SYAPS), and for PRSA PRF, PRS and PRN, Commission rates Schedule 2 (INTS2).

For Flexible and clear pricing information, refer to Products from Standard Life for financial advisers (SYAPS).

No commission is payable for a term of less than 1 year.

\*\*\*\*FBRC frequency is set at policy level and, once set, cannot be changed. If you are taking FBRC for the first time, tell us what frequency you want.

**Commission details continued**

**Synergy Investment Bond or ARF (460 or 760)**

Initial (% of premium)

% (Max 3.00%. If nil, insert 0%) FBRC is set at policy level and, once set, cannot be changed.

**Synergy Investment Bond or ARF (policy numbers begin AB or AR) started before 1 September 2014**

Please select your Flexible and clear pricing option A  B   
(tick the appropriate box)

Initial (% of premium)

% (Max 4.00%. If nil, insert 0%)

FBRC \*\*\*\* (% of fund)

% (Max 1.00%. If nil, insert 0%)

**Synergy Investment Bond or ARF (policy numbers begin AB or AR) started after 1 September 2014**

The policy's Flexible and clear pricing structure has already been set.

Initial (% of premium)

% (Max 4.00%. If nil, insert 0%)

FBRC \*\*\*\* (% of fund)

% (Max 1.00%. If nil, insert 0%)

**Synergy Regular Invest (policy numbers begin RP)**

Initial (% of premium)

% (Max 3.00%. If nil, insert 0%)

FBRC \*\*\*\* (% of fund)

% (Max 1.00%. If nil, insert 0%)

**Synergy Portfolio Bond or Portfolio ARF (policy numbers begin 461 or 762)**

Initial (% of premium)

% (Max 3.00%. If nil, insert 0%) FBRC is set at policy level and, once set, cannot be changed.

**Synergy Portfolio Bond or Portfolio ARF (policy numbers begin AC or AS)**

Initial (% of premium)

% (Max 4.00%. If nil, insert 0%)

FBRC \*\*\*\* (% of fund)

% (Max 1.00%. If nil, insert 0%)

**Other products**

Same commission basis as last single premium top up to this policy  or

Initial (% of premium)

%

Renewal (% of premium)

%

**Privacy notice**

We collect, process and maintain your client's personal information and apply safeguards to ensure that it is protected and used in accordance with data protection law. To read our Privacy Policy, visit [www.standardlife.ie/privacy](http://www.standardlife.ie/privacy)

For Flexible and clear pricing information, refer to Products from Standard Life for financial advisers (SYAPS).

\*\*\*\*FBRC frequency is set at policy level and, once set, cannot be changed. If you are taking FBRC for the first time, tell us what frequency you want.

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FPPC

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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### Transfer payment details

Name of plan from which transfer payment originates

Revenue reference number of the transferring plan

### Payment breakdown

Employer contributions value €  Employee contributions value €  AVCs value €

Is the transfer payment subject to any Family Law proceedings? (for example, Pension or Property Adjustment Order)  Yes  No

If Yes, you should enclose a copy of the Notice or Order.

Top ups to buy out bonds are not allowed.

### Current salary and other retirement benefits (required for executive pension top ups only)

Current annual salary €  Additional benefits^ €

If the member has any other retirement benefits, please give details

	Pension 1	Pension 2	Pension 3
Sponsoring employer	<input type="text"/>	<input type="text"/>	<input type="text"/>
Normal retirement age	<input type="text"/>	<input type="text"/>	<input type="text"/>
Does this other pension relate to a previous, same or concurrent employment?	<input type="checkbox"/> Previous <input type="checkbox"/> Same <input type="checkbox"/> Concurrent	<input type="checkbox"/> Previous <input type="checkbox"/> Same <input type="checkbox"/> Concurrent	<input type="checkbox"/> Previous <input type="checkbox"/> Same <input type="checkbox"/> Concurrent
Scheme type	(i) <input type="checkbox"/> DC company scheme, AVC scheme, PRSA AVC, buy out bond or SSAS (ii) <input type="checkbox"/> Personal pension (RAC) or PRSA (iii) <input type="checkbox"/> DB company scheme	(i) <input type="checkbox"/> DC company scheme, AVC scheme, PRSA AVC, buy out bond or SSAS (ii) <input type="checkbox"/> Personal pension (RAC) or PRSA (iii) <input type="checkbox"/> DB company scheme	(i) <input type="checkbox"/> DC company scheme, AVC scheme, PRSA AVC, buy out bond or SSAS (ii) <input type="checkbox"/> Personal pension (RAC) or PRSA (iii) <input type="checkbox"/> DB company scheme
If (i), give current fund value	€ <input type="text"/>	€ <input type="text"/>	€ <input type="text"/>
If (i) or (ii), give projected fund at normal retirement age	€ <input type="text"/>	€ <input type="text"/>	€ <input type="text"/>
If (iii), give (a) date of leaving	<input type="text"/>	<input type="text"/>	<input type="text"/>
(b) pension at date of leaving	€ <input type="text"/> pa	€ <input type="text"/> pa	€ <input type="text"/> pa
(c) additional cash lump sum at date of leaving	€ <input type="text"/>	€ <input type="text"/>	€ <input type="text"/>
(d) pension escalation	€ <input type="text"/> pa	€ <input type="text"/> pa	€ <input type="text"/> pa
(e) spouse/civil partner's benefit on death after retirement	<input type="text"/> %	<input type="text"/> %	<input type="text"/> %

^ for example, BIK, bonus

Please note that we'll be unable to proceed if current salary and other retirement benefits are incomplete.

If the member has more than 3 other retirement benefits, please copy this part and complete for each employment.

### Financial adviser's signature

Financial adviser's signature

Financial adviser's name

Date (DD/MM/YYYY)

  
Signature

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