

**SYEOS1 V03 0319**

### Before you complete this form

Please complete this form if you invested in a Synergy policy and now wish to open an execution-only stockbroking account.

This form should be completed by the policyowners.

For Synergy Executive Pension, the member should complete this form.

For Synergy Investment Bond policyowners who invested on or after 15 July 2010, Part 2 doesn't need to be completed.

You should read the Self-Directed Options guide (SYSDO1) for information on execution-only stockbroking, including permitted investments and Stocktrade's charges and opening hours.

If you choose to invest in Exchange Traded Funds (ETFs), you will first need to complete an Alliance Trust Savings (Stocktrade) Appropriateness Assessment form to assess your understanding on the appropriateness of ETFs. This form is available from your financial adviser or us. It should be completed and returned to Standard Life.

### Part 1 - Personal details

**Policy number**

**Policyowner 1 (or member)**

First names

Surname

Tick this box if you want to deal or view your Stocktrade account online\*

Email   
@

Address

Date of birth   
(DD/MM/YYYY)

Tick this box if you're an Irish national. If you're not, or you have dual nationality/citizenship, please give details

Nationality

National client identifier\*

\* Additional charge applies for each deal arranged by post, email or fax.

Stocktrade will use your email address (Policyowner 1) to set up your online account.

\* This could be your passport number or personal identity code, depending on country. For example, in UK, it's your National Insurance Number. For other countries, see National client identifier notes on page 4.

## Part 1 - Personal details continued

### Policyowner 2

First names

Surname

Email

  
@

Address

  
  

Date of birth

(DD/MM/YYYY)

Tick this box if you're an Irish national. If you're not, or you have dual nationality/citizenship, please give details

Nationality

National client identifier\*\*

\*\* This could be your passport number or personal identity code, depending on country. For example, in UK, it's your National Insurance Number. For other countries, see National client identifier notes on page 4.

## Part 2 - Anti-money laundering regulations

We are required to obtain information about your occupation, income, details of how you acquired the money you are investing before we can accept your application.

Occupation of policyowner 1	Occupation of policyowner 2
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Source of wealth. Tick all that apply.

- |   |  |  |  |
|---|--|--|--|
| <input type="checkbox"/> Compensation payment | <input type="checkbox"/> Inheritance           | <input type="checkbox"/> Salary/bonus        | <input type="checkbox"/> Sale of company |
| <input type="checkbox"/> Divorce settlement   | <input type="checkbox"/> Lottery/betting win   | <input type="checkbox"/> Sale of investments | <input type="checkbox"/> Savings         |
| <input type="checkbox"/> Gift                 | <input type="checkbox"/> Policy claim/maturity | <input type="checkbox"/> Sale of property    |  |

Other

Your annual income before tax

- |  |   |   |   |                                   |
|--|---|---|---|-----------------------------------|
| <input type="checkbox"/> Under €20,000 | <input type="checkbox"/> €20,000 to €34,999 | <input type="checkbox"/> €35,000 to €49,999 | <input type="checkbox"/> €50,000 to €74,999 | <input type="checkbox"/> €75,000+ |
|--|---|---|---|-----------------------------------|

You'll also you'll need to attach certified copies of one of each of the following:

- |   |            |  |
|---|------------|--|
| <input type="checkbox"/> Proof of identity, for example <ul style="list-style-type: none"><li>• Current passport</li><li>• Current EU driving licence</li></ul> | <b>and</b> | <input type="checkbox"/> Proof of address, for example <ul style="list-style-type: none"><li>• Recent bill (electricity, phone or gas)</li><li>• Recent statement (bank or credit union)</li><li>• Recent document from Revenue or Dept of Social Protection</li></ul> |
|---|------------|--|

In certain circumstances, we may request further information.

If this is a joint application, please state the combined income of the policyowners.

Current means not expired and recent means issued in the last 6 months.

If you don't have a particular document, talk to us or your financial adviser about alternatives.

### Part 3 - Your financial adviser's declaration

#### To be completed by the financial adviser

1. I want to be able to view my client's Stocktrade account online.

Email me at


@

Stocktrade will use your email address to set up or add this client's policy to your online financial adviser account.

2. I have completed all checks to verify the identity, address, source of funds, and source of wealth of:

Names


to the standards set out in the anti-money laundering and counter financing of terrorism regulations.

Financial adviser signature

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Signature

Financial adviser's company name

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Date

(DD/MM/YYYY)

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Financial adviser's Standard Life agency code

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### Part 4 - Your declaration (Please ensure that all policyowners read and sign this part)

1. I confirm that the information provided in this form, whether in my handwriting or not, is true and complete.
2. I agree that a copy of this form can be treated as the original for all purposes.
3. I will only trade in the permitted investments as listed for this Synergy product in the Self-Directed Options guide (SYSDO1).

Policyowner signatures

(all policyowners must sign)

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Signature

Date

(DD/MM/YYYY)

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If you are uncertain as to whether a particular investment is permitted, please contact your financial adviser or Standard Life for assistance.

For Synergy Investment Bonds, trading is currently limited to Exchange Traded Funds. If it comes to our attention that you have bought non-Exchange Traded Fund investments, we will instruct Stocktrade to sell those investments. Please be aware that in these circumstances, your policy will be charged the cost of selling those investments. The tax status of your policy may change as a result of buying non-Exchange Traded Fund investments.

### Privacy notice

We collect, process and maintain policyholder personal information and apply safeguards to ensure that it is protected and used in accordance with data protection law. To read our Privacy Policy, visit [www.standardlife.ie/privacy](http://www.standardlife.ie/privacy)

## National client identifier notes

Your National client identifier depends on your nationality.

Country	National client identifier	Where to find identifier
Austria	Leave blank	
Belgium	Belgian National Number (Numéro de registre national - Rijksregisternummer)	National ID
Bulgaria	Bulgarian Personal Number	Passport, national ID, driving licence
Croatia	Personal Identification Number (OIB – Osobni identifikacijski broj)	National ID
Cyprus	National Passport Number	Passport
Czech Republic	National identification number (Rodné číslo)	Birth certificate, national ID, driving licence
Denmark	CPR number	Passport, health care card, driving licence
Estonia	Estonian Personal Identification Code (Isikukood)	Passport, national ID, driving licence
Finland	Personal identity code	Passport, national ID
France	Leave blank	
Germany	Leave blank	
Greece	DSS investor share number	Central Securities Depository S.A.
Hungary	Leave blank	
Iceland	Personal Identity Code (Kennitala)	Passport, national ID, driving licence
Ireland	Leave blank	
Italy	Fiscal code (Codice fiscale)	National health service card
Latvia	Personal code (Personas kods)	National ID, passport
Lichtenstein	National Passport Number	Passport
Lithuania	Personal code (Asmens kodas)	Passport, national ID, driving licence
Luxemburg	Leave blank	
Malta	National Identification Number	National ID
Netherlands	National Passport Number	Passport
Norway	Personal ID (Foedselsnummer)	Passport, national ID, driving licence
Poland	National Identification Number (PESEL)	Birth certificate, national ID, driving licence
Portugal	Tax number (Número de Identificação Fiscal)	
Romania	National Identification Number (Cod Numeric Personal)	Birth certificate, national ID, driving licence
Slovakia	Personal number (Rodné číslo)	Birth certificate, national ID, driving licence
Slovenia	Personal Identification Number (EMŠO: Enotna Matična Številka Občana)	Passport, personal ID card
Spain	Tax identification number (Número 0 Código de identificación fiscal)	National ID, driving licence, social security card
Sweden	Personal identity number	Passport, health care card, driving licence
United Kingdom	UK National Insurance number	National insurance card, P60, letter from DWP or HMRC
All other countries	National passport number	Passport

(01) 639 7000 [www.standardlife.ie](http://www.standardlife.ie) [customerservice@standardlife.ie](mailto:customerservice@standardlife.ie)

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Calls may be monitored and/or recorded to protect both you and us and help with our training. Call charges will vary.